



PATENT
Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Transmission of:

Maximilian A. Biberger et al.

Serial No.: 09-704,641

Filed: November 1, 2000

For: **METHOD AND APPARATUS FOR
SUPERCritical PROCESSING
OF A WORKPIECE**

) Group Art Unit: 1763

) Examiner: Ram N. Kackar

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

RECEIVED
JUN 02 2003
TC 1700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 CFR § 1.97(e) for Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5-23-03

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 5/27/03 By: J. C. C.



Attorney Docket No.: PATENT
SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 1763
Maximilian A. Biberger et al.) Examiner: Ram N. Kackar
Serial No.: 09.704,641) **CERTIFICATION FOR**
Filed: November 1, 2000) **SUPPLEMENTAL INFORMATION**
For: **METHOD AND APPARATUS FOR**) **DISCLOSURE STATEMENT UNDER 37**
SUPERCritical PROCESSING) **CFR § 1.97(e)**
OF A WORKPIECE) 162 North Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

RECEIVED
JUN 02 2003
TC 1700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on February 28, 2003. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)**

Attorney Docket No.: PATENT
SSI-00700

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5-23-03

By: Thomas B. Haverstock

Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450

- 2 -

HAVERSTOCK & OWENS LLP.
Date: 5/27/03 By: T. Haverstock



Attorney Docket No.: PATENT
SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 1763
Maximilian A. Biberger et al.) Examiner: Ram N. Kackar
Serial No.: 09/704,641) **INFORMATION DISCLOSURE**
Filed: November 1, 2000) **STATEMENT**
For: **METHOD AND APPARATUS FOR**) 162 N. Wolfe Road
SUPERCritical PROCESSING) Sunnyvale, CA 94086
OF A WORKPIECE) (408) 530-9700

RECEIVED
JUN 02 2003
TC 1700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 6,319,858 B1

Attorney Docket No.: PATENT
SSI-00700

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

RECEIVED
JUN 02 2003
TC 1700

Dated: 5-23-03

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450

- 2 -

HAVERSTOCK & OWENS LLP.
Date: 5/27/03 By: [Signature]